

## TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney  
Docket No.: KT-001AX

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP  
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BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Date: January 28, 2000  
First Named Inventor or  
Application Identifier: James D. Schlick

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventors: James D. Schlick	Rich Berner	Joel Schwarzbart
Andrew D. Longman	Gloria Gery	Peter DePaula
Betsy L. Alvarez	Robert Yardumian	Barbara Stoeber
Matt Hummel	Katherine Nicole Bussard	Michael Smith
Sandra Lee	Sean Connelly	Christabel Nazareth
Jad Santos	Justin Wilmsmeyer	James Mullins
Phong Dinh	Martin Vernon	Thomas H. Irwin
Rachel Cline	Karl Hogquist	

Entitled: METHOD AND APPARATUS FOR PROBLEM SOLVING, DECISION MAKING AND STORING,  
ANALYZING, AND RETRIEVING ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

[X] This is a request for filing a [X] **continuation** [ ] **divisional** [ ] **continuation in-part** application under §1.53(b) of prior Application No. 09/347,238, filed July 2, 1999 entitled: METHOD AND APPARATUS FOR PROBLEM SOLVING, DECISION MAKING AND STORING, ANALYZING, AND RETRIEVING ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

Enclosed are:

[X] 43 pages of written description, claims and Abstract, inclusive  
[X] 127 sheets of [X] informal [ ] formal drawings of Figs. 1-137 (one set)

[X] Oath or Declaration

[ ] Newly executed (original or copy)

[ ] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional).

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[X] To be filed later

[ ] Cover sheet and Assignment of the invention to:

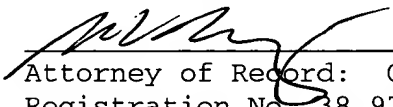
[ ] Certified copy of a \_\_\_\_\_ application (if foreign priority is claimed) with letter claiming priority under Rule 55.

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

Attorney Docket No.: KT-001AX

- [X] Information Disclosure Statement with 14 citations
- [X] Preliminary amendment is enclosed.
- [X] Return receipt postcard
- [X] Other: *Petition for Extension of Time Under Section 1.136 for parent case  
Serial No. 09/347,238 (COPY)  
Request for Deletion of Inventor Under MPEP § 201.03*
- [X] Verified statement of Small Entity was filed in prior application. Status still proper and desired
- [X] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [ ] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [X] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.

[X] Christopher J. Lutz is hereby appointed Associate Attorney by:  
Registration No.: 44,883

  
\_\_\_\_\_  
Attorney of Record: Gordon R. Moriarty  
Registration No. 38,973

[ ] **Power of Attorney** in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as \_\_\_\_\_, are hereby given associate power:  
Registration No.:

Stanley M. Schurgin, Reg. No. 20,979  
Charles L. Gagnebin III, Reg. No. 25,467  
Paul J. Hayes, Reg. No. 28,307  
Victor B. Lebovici, Reg. No. 30,864

Eugene A. Feher, Reg. No. 33,171  
Beverly E. Hjorth, Reg. No. 32,033  
Holliday C. Heine, Reg. No. 34,346  
Gordon R. Moriarty, Reg. No. 38,973

- [ ] Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- [ ] Add in this application claims \_\_\_\_\_ per amendment before calculating fee.

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**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$690.00
Independent	3 - 3	= 0	x \$78.00 =	0.00
Total	3 - 20	= 0	x \$18.00 =	0.00
[ ] Multiple Dependent Claims (1st presentation)			+ \$260.00 =	0.00
SUBTOTAL FILING FEE				\$690.00
Small Entity filing, divide by 2. (Note: verified statement must be attached per \$1.9, \$1.27, \$1.28.)				\$345.00
TOTAL Filing Fee				\$345.00

[ ] The filing fee has been calculated above; a check in the amount of \_\_\_\_\_ is enclosed.

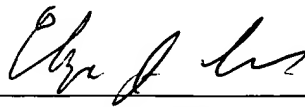
[X] The filing fee will be submitted at a later date.

[X] In the event a Petition for Extension of Time under 37 CFR §1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.

[ ] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP  
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 Telephone: (617) 542-2290  
 Telecopier: (617) 451-0313



Attorney of Record: Christopher J. Lutz  
 Registration No. 44,883

Attorney Docket No.: KT-001AX

**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

☒ Priority is claimed under 35 USC § 120 of prior Application(s)  
No. 09/347,238, filed July 2, 1999, entitled: METHOD AND APPARATUS FOR PROBLEM  
SOLVING, DECISION MAKING AND STORING, ANALYZING, AND RETRIEVING  
ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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☐ The above-identified application(s) is/are assigned of record to:

☒ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

<u>60/091,476</u> (Application Number)	<u>July 2, 1998</u> (Filing Date)
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<u>60/133,746</u> (Application Number)	<u>May 12, 1999</u> (Filing Date)
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_____ (Application Number)	_____ (Filing Date)
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☒ The above-identified provisional application no. 60/091,475 is assigned of record to: KEPNER-TREGOE, INC.

☒ The claim of small entity status in the above-identified provisional application(s) is made in this application and a copy of the small entity form(s) from the provisional application(s) is/are enclosed.

DGB/CJL/jds

SUBMIT IN TRIPLICATE

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